



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Toshiaki Murai et al.

Confirmation No.: 8324

Serial No.:

10/785,517

Examiner: C. Nwaonicha

Filing Date:

February 24, 2004

Group Art Unit: 1621

Docket No.:

1018.1203101

Customer No.: 28075

For:

ASYMMETRIC PHOSPHINOSELENOIC CHLORIDE AND METHOD FOR

PRODUCING THE SAME

### TRANSMITTAL SHEET

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States

Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

on this 7th day of October 2004.

David M. Crompton

We are transmitting herewith the attached:

]	A	mend	lment	
	_	_		

[ ] No additional claim fee required

The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM  TOTAL		CLAIM		+ 145 =	\$	+ 290 =	\$
		\$		\$	·		

IJ	A check in the amount of \$ is enclosed. Itemization:   Fee Code
[XX]	Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.
[XX]	Other: <u>CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(e)(1))</u> , <u>INFORMATION DISCLOSURE STATEMENT</u> , <u>FORM PTO-1449</u> , <u>AND TWO CITED REFERENCES</u> .
[XX]	Return Receipt Postcard (MPEP 503).
[XXXX]	Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.  By:   David M. Crompton, Reg. No. 36,772

David M. Crompton CROMPTON, SEAGER & TUFTE, LLC 1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2420

Telephone: (612) 677-9050 Facsimile: (612) 359-9349





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# CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(e)(1))

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States

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Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

on this 7th day of October 2004.

David M. Crompton

Dear Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

#### **CERTIFICATION**

I, the person signing below, certify that each item of the information contained in the Information Disclosure Statement submitted herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement (37 C.F.R. § 1.97(e)(1)).

Appl. No. 10/785,517 Cert. for IDS dated October 7, 2004

The person making this certification is a person who is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

Toshiaki Murai et al.

By their Attorney,

David M. Crompton, Reg. No. 36,772

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## INFORMATION DISCLOSURE STATEMENT

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Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450

on this 7th day of October 2

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Toshiaki Murai et al.

By their Attorne

David M. Crompton, Reg. No. 36.

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FORM PTO-1449	Atty. Docket No.: 1018.1203101	Serial No.: 10/785,517	
	Applicant: Toshiaki Murai et al.		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION	Confirmation No.: 8324		
DISCLOSURE STATEMENT	Filing Date: February 24, 2004	Group Art: 1621	
U.S. PATENT	DOCUMENTS		

Examiner			DOCUMENTS	Filing Date			
Initial	Document No.	Date	Name	If Appropriate			
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		DEIGNI DA TEN					
	FC	REIGN PATE	NT DOCUMENTS				
	Document No.	Date	Country	Translation Yes No			
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	OTHER ART	(Including Author,	Title, Date, Pertinent Pages, Etc	c.)			
	Krawiecka, B., "Reaction of Thiolo-and Selenolo Esters of Phosphorus Acids with						
AA	Halogens. Part 5, Halogenolysis of Selenium-methyl Phosphinoselenoates,"						
t t	*abstract* Heteroatom Chemistry, 3(4), 385-91.						
	Bayandina, E.V. et al., "Synthesis of Arylselenophosphinic Acid Derivatives and Their Properties," *abstract* Zhurnal Obshcei Khimii, 48(12), 2673-7.						
AB	Their Properties "*	'abstract* Zhurr	ial Obshcei Khimii, 48(12)	), 20/3-/.			

AA	Halogens. Part 5, Halogenolysis of Selenium-methyl Phosphinoselenoates," *abstract* Heteroatom Chemistry, 3(4), 385-91.	
AB	Bayandina, E.V. et al., "Synthesis of Arylselenophosphinic Acid Derivatives and Their Properties," *abstract* Zhurnal Obshcei Khimii, 48(12), 2673-7.	

DATE CONSIDERED: EXAMINER:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.